

17364 U.S. PTO  
012304**CUSTOMER NUMBER 25268****UTILITY PATENT APPLICATION AND FEE TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. UNIV0200

Date: January 23, 2004

Inventor(s): **Janet Crossman-Bosworth, Eric J. Seibel, and Mark E. Fauver**Title **OPTICAL BEAM SCANNING SYSTEM FOR COMPACT IMAGE DISPLAY OR IMAGE ACQUISITION**FULL NAME OF 1<sup>ST</sup> NAMED INVENTOR: **Janet L. Crossman-Bosworth** COUNTRY OF CITIZENSHIP: **US**RESIDENCE ADDRESS OF INVENTOR: **15117 88th Avenue NE, Kenmore, WA 98028**FULL NAME OF 2<sup>ND</sup> NAMED INVENTOR: **Eric J. Seibel** COUNTRY OF CITIZENSHIP: **US**RESIDENCE ADDRESS OF INVENTOR: **6702 34th Ave. N.W., Seattle, WA 98117**FULL NAME OF 3<sup>RD</sup> NAMED INVENTOR: **Mark E. Fauver** COUNTRY OF CITIZENSHIP: **US**RESIDENCE ADDRESS OF INVENTOR: **11754 24th Avenue NE, Seattle, WA 98125****APPLICATION ELEMENTS**ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal (BELOW)
2. ☒ Specification [Total Pages 36]
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13] **{FORMAL}**
4. ☒ Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**
  - ii. **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
6. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF) b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ ii. Paper c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

3. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) \_\_\_\_\_ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 \_\_\_\_\_ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_
16. **If a CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) \_\_\_\_\_ of prior application No:          /           
 Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**This application is based on copending provisional application, U.S. Serial No. 60/442,852, filed on January 24, 2003, and priority therein is claimed under 35 U.S.C. § 119(e).**

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Correspondence address below:

Ronald M. Anderson  
**LAW OFFICES OF RONALD M. ANDERSON**  
 600 - 108th Avenue N.E., Suite 507  
 Bellevue, WA 98004  
 Telephone: 425-688-8816 Facsimile: 425-646-6314

FEE TRANSMITTAL																																																					
<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																			
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>01-1940</u> Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		<b>3. ADDITIONAL FEES (Continued)</b>  <table style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Director</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties) THREE ASSIGNMENTS</td><td style="text-align: right;"><b>120</b></td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr> <td colspan="2" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td colspan="2" style="text-align: right;"><b>\$ 120</b></td> </tr> </tbody> </table>		Fee Description	Fee Paid	Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive – unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Director		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties) THREE ASSIGNMENTS	<b>120</b>	Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)		<b>SUBTOTAL (3)</b>		<b>\$ 120</b>	
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<b>TOTAL FEES ENCLOSED: \$ 1394</b>																																																					

Typed/Printed Name Ronald M. AndersonSignature Ron AndersonRegistration Number: 28,829Date: January 23, 2004**EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EV289322367US

Date of Deposit: January 23, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, Virginia 22313-1450.

Kathy L. Paulino  
 (Name of person mailing paper/fee)

Kathy L. Paulino  
 (Signature of person mailing paper or fee)